

## ON PROJECTIVE UMBILICS: A GEOMETRIC INVARIANT AND AN INDEX

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ABSTRACT. We define a geometric invariant and an index (+1 or  $-1$ ) for projective umbilics of smooth surfaces. We prove that *the sum of the indices of the projective umbilics inside a connected component  $H$  of the hyperbolic domain remains constant in any 1-parameter family of surfaces if the topological type of  $H$  does not change.* We prove the same statement for any connected component  $E$  of the elliptic domain. We give formulas for the invariant and for the index which do not depend on any normal form.

### 1. INTRODUCTION

The points at which a smooth plane curve has higher contact with its osculating circle (*vertices*) or with its osculating conic (*sextactic points*) have been extensively studied. Recent works relate this classical subject of differential geometry to singularity theory, and to contact and symplectic geometry (cf. [1, 2, 4, 6, 10, 16, 13]).

For a smooth surface of  $\mathbb{R}^3$  the analogues of the vertices, called *umbilics*, are the points at which a sphere has higher contact (than usual) with the surface.

*Projective umbilics* are the analogous of sextactic points for smooth surfaces of  $\mathbb{RP}^3$ : the points where the surface is approximated by a quadric up to order 3. (Projective umbilics are also called *quadratic points* (see [9]).)

Although projective umbilics have been studied in classical literature (cf. [3, 12, 17]), the few known global results about them are rather recent. Let us mention two examples: *a convex smooth surface of  $\mathbb{RP}^3$  has at least 6 projective umbilics* [10]; *if a generic smooth surface of  $\mathbb{RP}^3$  contains a hyperbolic disc bounded by a Jordan parabolic curve, then there exists an odd number of projective umbilics inside this disc* (and hence at least one) [16]. We mention other recent results in Section 4.

Tabachnikov and Ovsienko stated a (still open) conjecture [9]: *the least number of projective umbilics on a generic compact smooth hyperbolic surface is 8.*<sup>1</sup>

**Hyperbonodes - Ellipnodes.** To make it short, we shall call *hyperbonodes* the hyperbolic projective umbilics and *ellipnodes* the elliptic ones.

To present our results, we will describe some features of generic smooth surfaces of  $\mathbb{RP}^3$  (and of  $\mathbb{R}^3$ ) and will give another characterisation of hyperbonodes:

**Contact with lines.** The points of a surface in 3-space are characterised by *asymptotic lines* (tangent lines of the surface with more than 2-point contact). A point is called hyperbolic (resp. elliptic) if there is two distinct asymptotic lines (resp. no asymptotic line). The *parabolic curve* consists of the points where there is a unique (but double) asymptotic line. The *flecnodal curve* is the locus of hyperbolic points where an asymptotic line admits more than 3-point contact with the surface.

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2010 *Mathematics Subject Classification.* 53A20, 53A55, 53D10, 57R45, 58K05.

*Key words and phrases.* Differential geometry, surface, singularity, parabolic curve, flecnodal curve, projective umbilic, invariant, index, cross-ratio, quadratic point.

<sup>1</sup>An analogue conjecture, but for surfaces of  $\mathbb{S}^3$ , was named “*Ovsienko-Tabachnikov conjecture*” in [5] and negatively solved. However, the original Ovsienko-Tabachnikov conjecture was not solved in [5], remaining open.

We distinguish two branches of the flecnodal curve: “left” and “right”, defined below. We represent the right branch of the flecnodal curve and the right asymptotic lines in black, and the left branch and asymptotic lines in white. The elliptic domain is represented in white.

A *hyperbonode* is a point of intersection of the left and right branches of the flecnodal curve (Fig.1). A *biflecnode* is a point of the flecnodal curve at which one asymptotic tangent line has at least 5-point contact with  $S$  - at a *biflecnode* that asymptotic line is tangent to the corresponding flecnodal curve (Fig.2).

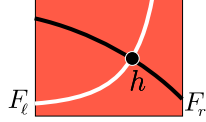


Fig. 1. A hyperbonode.

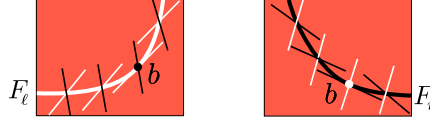


Fig. 2. Left and right biflecnodes.

Ellipnodes and hyperbonodes are crucial in the metamorphosis of the parabolic curve of evolving smooth surfaces, and in the metamorphosis of wave fronts occurring in generic 1-parameter families [15]: *any Morse metamorphosis of the parabolic curve takes place at an ellipnode (or hyperbonode) which is replaced by a hyperbonode (resp. by an ellipnode)* - Fig.3-left; *any  $A_3$ -metamorphosis of a wave front, where two swallowtail points are born or die, take place at an ellipnode (or hyperbonode) which is replaced by a hyperbonode (resp. by an ellipnode)* - Fig.3- right.



Fig. 3. Examples where an ellipnode is replaced by a hyperbonode or vice-versa.

In this paper we introduce a geometric invariant for hyperbonodes as the cross-ratio determined by the asymptotic lines and the tangents to the two branches of the flecnodal curve (it is in fact a contact invariant). This invariant describes some basic features of hyperbonodes and its sign distinguishes the hyperbonodes that are born or die at a creation/annihilation transition. We use this invariant to introduce an index for hyperbonodes, with values  $+1$  or  $-1$ . Then we prove that *the sum of the indices of the hyperbonodes inside a connected component  $H$  of the hyperbolic domain remains constant in any 1-parameter family of surfaces, provided that the topological type of  $H$  does not change* (Theorem 3). A similar theorem is proved for ellipnodes.

We provide formulas for the invariant and for the index of a hyperbonode which do not depend on any normal form (Theorems 2 and 5).

## 2. CR-INVARIANT: DEFINITION AND BASIC PROPERTIES

An *asymptotic curve* is an integral curve of a field of asymptotic directions.

**Left and right asymptotic and flecnodal curves.** Fix an orientation in the projective space  $\mathbb{RP}^3$  (or in  $\mathbb{R}^3$ ). The two asymptotic curves passing through a point of the hyperbolic domain of a generic smooth surface are distinguished: one twists like a left screw and the other like a right screw. More precisely, a regularly parametrised smooth curve is said to be a *left (right) curve* if its first three derivatives at each point form a negative (resp. a positive) frame.

We need the following basic, but important, fact on surfaces (cf. [16]):

**Fact.** *At each hyperbolic point one asymptotic curve is left and the other is right.*

The respective tangent lines  $L_\ell, L_r$  are called *left* and *right asymptotic lines*.

*This left-right distinction does not depend on the orientability of the surface.*

**Definition.** The *left (right) flecnodal curve*  $F_\ell$  (resp.  $F_r$ ) of a surface  $S$  consists of the points for which the left (resp. right) asymptotic line is over-osculating.

REMARK. The transverse intersections of the left and right flecnodal curves (that is, the hyperbonodes) are stable; but the self-intersections of one branch of the flecnodal curve (left or right) are unstable [15].

**The projective invariant.** At a hyperbonode the two asymptotic lines  $L_\ell, L_r$  and the tangent lines to the left and right flecnodal curves, which we note  $L_{F_\ell}$  and  $L_{F_r}$ , determine a cross-ratio, which is a projective invariant :

**Cr-invariant.** The *cr-invariant*  $\rho(h)$  of a hyperbonode (hyperbolic projective umbilic) is the cross-ratio of the lines  $L_{F_\ell}, L_r, L_{F_r}, L_\ell$  :

$$\rho(h) := (L_{F_\ell}, L_r, L_{F_r}, L_\ell).$$

**Property 1.** *The cr-invariant does not depend on the chosen orientation of  $\mathbb{RP}^3$ .*

Indeed, a change of orientation permutes left and right, but

$$(L_{F_r}, L_\ell, L_{F_\ell}, L_r) = (L_{F_\ell}, L_r, L_{F_r}, L_\ell).$$

**Property 2.** *By its definition, the value of  $\rho$  is negative if  $F_\ell$  and  $L_r$  locally separate  $F_r$  from  $L_\ell$  (Fig. 4).*

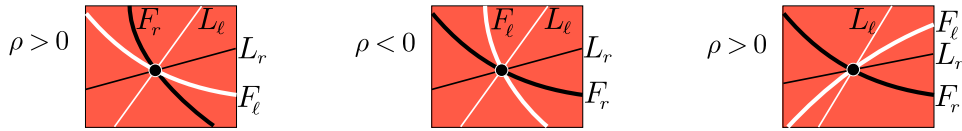


Fig. 4. Some generic positions of the asymptotic lines and flecnodal curves.

**Property 3.** *The sign of the cr-invariant distinguishes the hyperbonodes that take part in a “creation/annihilation” transition (Fig. 5).*

It follows because near the creation/annihilation moment (of two hyperbonodes) both branches of the flecnodal curve are transverse to the asymptotic lines (Fig. 5).

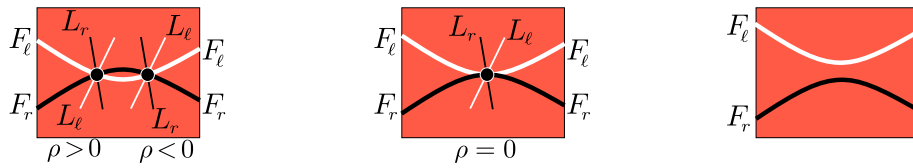


Fig. 5. A transition with “creation/annihilation” of two hyperbonodes.

**Property 4.** *The sign of  $\rho$  changes at a “flec-hyperbonode” transition (Fig. 6).*

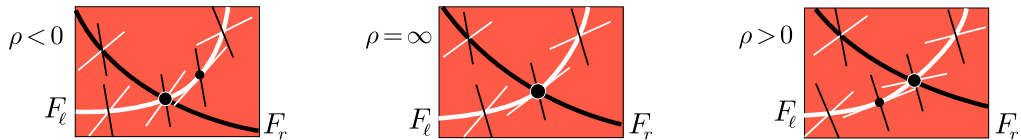


Fig. 6. A “flec-hyperbonode” transition where a biflecnode and a hyperbonode overlap.

To study the (unstable) hyperbonodes for which the cr-invariant change sign,  $\rho = 0$  and  $\rho = \infty$ , one usually perturbs them inside a generic 1-parameter family of smooth surfaces, where they become stable. See Figs. 5 and 6.

**Property 5.** *The only local transitions involving hyperbonodes, occurring inside the hyperbolic domain, correspond to the following values of  $\rho$ :*

- a)  $F_\ell$  and  $F_r$  are tangent  $\iff \rho = 0$  (double hyperbonode);
- b)  $L_\ell$  is tangent to  $F_\ell$  or  $L_r$  is tangent to  $F_r$   $\iff \rho = \infty$  (flec-hyperbonode).

REMARK. The value  $\rho = 1$  corresponds to a non-generic hyperbonode where  $L_\ell$  is tangent to  $F_r$  or  $L_r$  is tangent to  $F_\ell$ , but this provides no relevant transition.

### 2.1. Some Expressions for the Invariant.

**Normal forms.** According to Landis-Platonova's Theorem [8, 11] and Ovsienko-Tabachnikov's Theorem [9], the 4-jet of a surface at a hyperbonode can be sent by projective transformations to the respective normal forms

$$z = xy + \frac{1}{3!}(ax^3y + bxy^3) + \frac{1}{4!}(x^4 \pm y^4) \quad (\text{L-P})$$

$$z = xy + \frac{1}{3!}(x^3y \pm xy^3) + \frac{1}{4!}(Ix^4 + Jy^4) \quad (\text{O-T})$$

REMARK. These normal forms are equivalent for generic hyperbonodes. Indeed, writing  $\mathbf{x} = x/u$ ,  $\mathbf{y} = y/u$  and  $\mathbf{z} = z/uv$  with  $u^2 = |a|$ ,  $v^2 = |b|$  we transform

$$\mathbf{z} = \mathbf{xy} + \frac{1}{3!}(a\mathbf{x}^3\mathbf{y} + b\mathbf{xy}^3) + \frac{1}{4!}(\pm\mathbf{x}^4 + \mathbf{y}^4) \quad \text{to} \quad z = xy \pm \frac{1}{3!}(x^3y \pm xy^3) + \frac{1}{4!}(Ix^4 + Jy^4),$$

where  $I = \pm|b/a^3|^{1/2}$  and  $J = \pm|a/b^3|^{1/2}$  with the appropriate choice of signs.

However, in (L-P) the biflecnodes are impossible, while in (O-T) the tangency of  $L_r$  with  $F_\ell$  (or of  $L_\ell$  with  $F_r$ ) is impossible.

To encompass both normal forms we consider the ‘‘prenormal’’ form

$$z = xy + \frac{1}{3!}(ax^3y + bxy^3) + \frac{1}{4!}(Ix^4 + Jy^4). \quad (\mathcal{H})$$

The following theorem implies that for  $a = 0$  ( $b = 0$ ) we get a hyperbonode where the left (resp. right) asymptotic line is tangent to the right (resp. left) flecnodal curve; and for  $I = 0$  ( $J = 0$ ) we get a hyperbonode where the right (resp. left) asymptotic line is tangent to the right (resp. left) flecnodal curve (i.e., a biflecnode overlaps with the hyperbonode - Fig. 6).

**Theorem 1.** *Let  $h$  be a hyperbonode, with cr-invariant  $\rho$ , of a generic smooth surface  $S$ . If we put the 4-jet of  $S$  (after projective transformations) in the ‘‘prenormal’’ form  $(\mathcal{H})$ , then the resulting coefficients  $a, b, I, J$  satisfy the relation*

$$1 - \frac{ab}{IJ} = \rho.$$

*The respective relations satisfied by the normal forms (L-P) and (O-T) are*

$$1 \mp ab = \rho \quad \text{and} \quad 1 \mp \frac{1}{IJ} = \rho.$$

*Proof.* It is a direct corollary of Theorem 2 below.  $\square$

REMARK. In order that the normal form (L-P) represents only generic hyperbonodes, the restrictions  $ab \neq 0$  and  $ab \neq \pm 1$  have to be imposed. Similarly, the conditions  $IJ \neq 0$  and  $IJ \neq \pm 1$  have to be imposed to (O-T).

**Formulas.** In order to get formulas for the cr-invariant, we shall identify the affine chart of the projective space,  $\{[x : y : z : 1]\} \subset \mathbb{RP}^3$  with  $\mathbb{R}^3$ , and present the germs of surfaces in  $\mathbb{R}^3$  at the origin in Monge form  $z = f(x, y)$  with  $f(0, 0) = 0$  and  $df(0, 0) = 0$ .

**Notation.** We shall express the partial derivatives of  $f$  with numerical subscripts:

$$f_{ij}(x, y) := (\partial^{i+j} f / \partial x^i \partial y^j)(x, y) \quad \text{and} \quad f_{ij} := f_{ij}(0, 0).$$

**Theorem 2** (Proved in Section 6). *Let  $h$  be a hyperbonode of a generic smooth surface. Taking the asymptotic lines as coordinate axes, the cr-invariant of  $h$  is given by*

$$(2.1) \quad \rho(h) = 1 - \frac{(3f_{21}^2 - 2f_{11}f_{31})(3f_{12}^2 - 2f_{11}f_{13})}{4f_{11}^2 f_{40} f_{04}}.$$

Thus, the Monge form for the above special hyperbonodes ( $\rho = 0$  and  $\rho = \infty$ ) satisfies the following relations (taking the asymptotic lines as coordinates axes):

**Corollary 1.** *At a hyperbonode the left and right branches of the flecnodal curve are tangent (i.e. there is a double hyperbonode) if and only if*

$$4f_{11}^2 f_{40} f_{04} - (3f_{21}^2 - 2f_{11}f_{31})(3f_{12}^2 - 2f_{11}f_{13}) = 0.$$

*Proof.* One obtains this equality from formula (2.1) by putting  $\rho(h) = 0$ .  $\square$

**Corollary 2.** *At a hyperbonode  $h$  an asymptotic tangent line has at least 5-point contact with the surface (i.e. a biflcnode overlaps with  $h$ ) if and only if  $f_{40} f_{04} = 0$ .*

*Proof.* At a hyperbonode we have  $f_{30} = f_{03} = 0$ . The surface has exactly 4-point contact with the  $x$  and  $y$  axes if and only if  $f_{40} \neq 0$  and  $f_{04} \neq 0$ . The statement follows from formula (2.1) assuming that  $\rho(h) = \infty$ .  $\square$

**Theorem 2'.** *If at a hyperbonode  $h$  we take the diagonals  $y = \pm x$  as asymptotic lines and we assume the cubic terms of  $f$  are missing for the chosen affine coordinate system, then*

$$(2.2) \quad \rho(h) = \frac{4[(f_{40} + 3f_{22})(f_{04} + 3f_{22}) - (f_{31} + 3f_{13})(f_{13} + 3f_{31})]}{(f_{40} + 6f_{22} + f_{04})^2 - 16(f_{31} + f_{13})^2}.$$

### 3. THE INDEX OF A HYPERBONODE

At any point of the left flecnodal curve, which is not a left biflcnode, the left asymptotic line has exactly 4-point contact with the surface. Thus at all such points the left asymptotic line locally lies in one of the two sides of the surface - the same holds for the right flecnodal curve. Then in a tubular neighbourhood of the flecnodal curve, the surface is locally cooriented by the corresponding asymptotic line - this local coorientation changes only at the biflcnodes.

At a hyperbonode both asymptotic lines may locally lie in the same side of the surface, but also may locally lie in opposite sides.

**Parity.** We say that a hyperbonode has *parity*  $\sigma(h) = +1$  if both asymptotic lines locally lie on the same side of the surface and  $\sigma(h) = -1$  otherwise.<sup>2</sup>

**REMARK.** The cr-invariant  $\rho$  and the parity  $\sigma$  are independent of the orientation of  $\mathbb{RP}^3$ .

**Index.** A generic hyperbonode is said to be *positive* or of *index*  $+1$  if  $\sigma(h)\rho(h) > 0$ , and *negative* or of *index*  $-1$  if  $\sigma(h)\rho(h) < 0$ , that is,

$$\text{ind}(h) = \sigma(h) \text{sign}(\rho(h)).$$

**Proposition 1.** *In generic 1-parameter families of smooth surfaces, the two hyperbonodes that collapse during a creation/annihilation transition have opposite indices.*

<sup>2</sup>The choice of a local coorientation of the surface  $S$  provides a *signature* to each hyperbonode ([9]): it is a pair of signs,  $s = (s_r, s_\ell)$ , which are  $+$  (or  $-$ ) if that local coorientation coincides (or not) with the coorientations given by the left and right asymptotic lines. Of course,  $s$  depends on the chosen coorientation of  $S$ . The points of signature  $s = (+, +)$  or  $(-, -)$  have parity  $\sigma = +1$ , and those of signature  $(+, -)$  or  $(-, +)$  have parity  $\sigma = -1$ .

*Proof.* The cr-invariant has distinct sign at these hyperbonodes (Property 3), and either both hyperbonodes are odd,  $\sigma(h) = -1$ , or both are even,  $\sigma(h) = +1$ , because there is no biflode between them. Then they have opposite indices.  $\square$

**Proposition 2.** *When a hyperbonode undergoes a “flec-hyperbonode” transition its index does not change (Fig. 6).*

*Proof.* At a “flec-hyperbonode” transition both the parity and the cr-invariant of the hyperbonode change sign. Thus the index remains constant.  $\square$

A corollary of these propositions is the following theorem :

**Theorem 3.** *The sum of the indices of the hyperbonodes inside a connected component  $H$  of the hyperbolic domain remains constant in a continuous 1-parameter family of surfaces, provided that the topological type of  $H$  does not change.*

*Proof.* The only local transitions that involve hyperbonodes, occurring in the interior of the hyperbolic domain, are the creation/annihilation and the flec-hyperbonode transitions (Property 5).  $\square$

A consequence of the theorem of [16] (p.744) quoted in the introduction is the

**Corollary.** *In a hyperbolic disc  $D$  bounded by a closed parabolic curve, the sum of the indices of the hyperbonodes inside  $D$  equals 1.*

**Theorem 4.** *If the 4-jet of  $h$  is expressed in the “prenormal form” ( $\mathcal{H}$ ), then*

$$\text{ind}(h) = \text{sign}(IJ - ab).$$

*Proof.* It is a direct corollary of Theorem 5 below.  $\square$

**Theorem 5.** *If the asymptotic lines are the coordinate axes at a hyperbonode  $h$ , then*

$$\text{ind}(h) = \text{sign} (4f_{11}^2 f_{40} f_{04} - (3f_{21}^2 - 2f_{11} f_{31})(3f_{12}^2 - 2f_{11} f_{13})) .$$

*Proof.* The formula follows from Theorem 2 because  $\sigma(h) = \text{sign}(f_{40} f_{04})$ .  $\square$

**Theorem 5'.** *If the asymptotic lines are the diagonals  $y = \pm x$  at a hyperbonode  $h$ , then*

$$\text{ind}(h) = \text{sign} ((f_{40} + 3f_{22})(f_{04} + 3f_{22}) - (f_{31} + 3f_{13})(f_{13} + 3f_{31})) .$$

*Proof.* This follows from Theorem 2' because  $\sigma(h) = \text{sign} ((f_{40} + 6f_{22} + f_{04})^2 - 16(f_{31} + f_{13})^2)$ . Indeed, the parity is the sign of  $\partial_{v^+}^4 f \cdot \partial_{v^-}^4 f$  at the origin, with  $v^+ = (1, 1)$ ,  $v^- = (1, -1)$ , and

$$\partial_{v^+}^4 f = (f_{40} + 6f_{22} + f_{04}) + 4(f_{31} + f_{13}), \quad \partial_{v^-}^4 f = (f_{40} + 6f_{22} + f_{04}) - 4(f_{31} + f_{13}). \quad \square$$

#### 4. SOME REMARKS AND A PROBLEM

**Remark 1.** In [7], hyperbonodes and ellipnodes are characterised as the singular points of an intrinsic field of lines on the surface; then an index is defined at these points.

In the case of hyperbonodes, our index  $\text{ind}(h)$  coincides with the index of [7], providing a new characterisation of it. In [7], it was proved that :

*The sum of indices of the hyperbonodes lying inside a connected component of the hyperbolic domain equals the Euler characteristic of that domain.*

**Remark 2.** In the case of ellipnodes, the index of [7] has fractional values  $+1/3$  or  $-1/3$ . A *godron* is a parabolic point at which the unique (but double) asymptotic line is tangent to the parabolic curve. The godrons of generic surfaces have an intrinsic index with value  $+1$  or  $-1$  (cf. [10, 16]).

A godron, hyperbonode or ellipnode is said to be *positive (negative)* if its index is positive (resp. negative). The following theorem was proved in [7] :

Let  $H$  be a connected component of the hyperbolic domain and  $E$  a connected component of the elliptic domain.

**Theorem.** Write  $\#e(E)$  for the number of positive ellipnodes of  $E$  minus the negative ones;  $\#g(E)$  for the number of positive godrons on  $\partial E$  minus the negative ones;  $\#h(H)$  for the number of positive hyperbonodes of  $H$  minus the negative ones; and  $\#g(H)$  for the number of positive godrons on  $\partial H$  minus the negative ones. Then

$$\#h(H) + \#g(\partial H) = 3\chi(H) \quad \text{and} \quad \#e(E) - \#g(\partial E) = 3\chi(E).$$

**Remark 3.** Unfortunately, the results of the present paper and those of [7] provide no help to prove or disprove Ovsienko-Tabachnikov's conjecture because a compact smooth hyperbolic surface has zero Euler characteristic.

**Problem.** Landis-Platonova's and Ovsienko-Tabachnikov's normal forms contain two moduli. Then, to determine the 4-jet of the surface at a hyperbonode the geometric invariant  $\rho$  does not suffice. The problem is to find a second geometric invariant (in order to determine that 4-jet by two geometric invariants).

## 5. THE CROSS-RATIO INVARIANT FOR ELLIPNODES

At an elliptic point of a generic surface there is a pair of complex conjugate asymptotic lines (the zeros of the quadratic form in the Monge form). For example, if  $f(x, y) = x^2 + y^2 + \dots$ , the asymptotic lines at the origin are  $L : y = ix$  and  $\bar{L} : y = -ix$ . Near an ellipnode there is a corresponding pair of complex conjugate flecnodal curves (consisting of the complex points where an asymptotic line is also a line of zeros of the cubic term of  $f$ ). The cross-ratio defined by the asymptotic lines  $L_i, \bar{L}$  with the tangent directions of the flecnodal curves  $F, \bar{F}$  is a real number:

$$\rho(e) := (L_{\bar{F}}, L, L_F, \bar{L}).$$

Express the surface in Monge form  $z = f(x, y)$  with quadratic part  $Q = \frac{\alpha}{2}(x^2 + y^2)$  and assume that (for the chosen affine coordinate system) the cubic terms of  $f$  are missing.

**Theorem 6.** For an ellipnode  $e$  of a generic smooth surface the cr-invariant is given by

$$(5.1) \quad \rho(e) = \frac{4[(f_{40} - 3f_{22})(f_{04} - 3f_{22}) - (f_{31} - 3f_{13})(f_{13} - 3f_{31})]}{(f_{40} - 6f_{22} + f_{04})^2 + 16(f_{31} - f_{13})^2}.$$

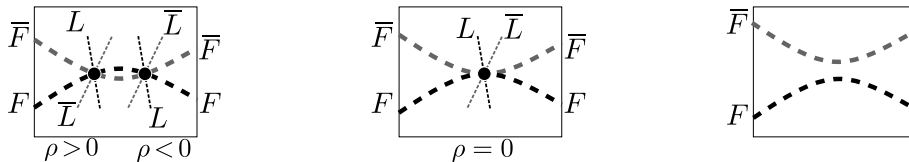
(Compare this formula with formula (2.2) of Theorem 2.)

As in Property 3, the cr-invariant of an ellipnode vanishes if the complex conjugate flecnodal curves are tangent. Hence the sign of the cr-invariant distinguishes the ellipnodes that take part in a "creation/annihilation" transition (Fig. 7). In fact, in the case of ellipnodes the sign of the cross-ratio invariant behaves as an index (the value of the index being defined up to a factor):

**Positive and Negative Ellipnodes.** An ellipnode is said to be *positive* or *negative* if its cross-ratio invariant is respectively positive or negative.

**Corollary 3.** An ellipnode is double - it is a creation/annihilation transition of two ellipnodes of opposite sign - if and only if

$$(f_{40} - 3f_{22})(f_{04} - 3f_{22}) - (f_{31} - 3f_{13})(f_{13} - 3f_{31}) = 0.$$



**Fig. 7.** "Creation/annihilation" of 2 ellipnodes at a tangency of 2 complex conjugate flecnodal curves.



In generic 1-parameter families of smooth surfaces, the cross-ratio invariant of an ellipnode never takes the value  $\infty$  because the vanishing of the denominator of (5.1) would need two conditions. A consequence of this fact and of Corollary 3 is the following theorem:

**Theorem 7.** *The algebraic sum of the ellipnodes inside a connected component  $E$  of the elliptic domain remains constant in a continuous 1-parameter family of surfaces, provided that the topological type of  $E$  does not change.*

*Proof.* The only local transition that involves ellipnodes, occurring in the interior of the elliptic domain, is the creation/annihilation of two ellipnodes of opposite sign.  $\square$

## 6. PROOF OF THEOREM 2

We take the asymptotic lines at  $h$  as coordinate axes, that is,  $f_{20} = f_{02} = 0$ , and we use the fact that  $h$  is a hyperbonode, that is,  $f_{30} = f_{03} = 0$ .

**Lemma** (proved below). *The tangent lines to the right and left flecnodal curves at  $h$  have the respective equations*

$$(L_{F_r}) \quad 2f_{11}f_{40}x - (3f_{21}^2 - 2f_{11}f_{31})y = 0.$$

$$(L_{F_\ell}) \quad -(3f_{12}^2 - 2f_{11}f_{13})x + 2f_{11}f_{04}y = 0.$$

*Proof of Theorem 2.* To compute  $\rho(h)$ , we shall use the intersection points of the lines  $L_{F_\ell}$ ,  $L_r$ ,  $L_{F_r}$ ,  $L_\ell$  with the line of equation  $y = 1$ , which is parallel to  $L_r$ . The  $x$ -coordinates of the respective intersection points are (by the Lemma):

$$x_{F_\ell} = \frac{2f_{11}f_{04}}{(3f_{12}^2 - 2f_{11}f_{13})}; \quad x_{L_r} = \infty; \quad x_{F_r} = \frac{(3f_{21}^2 - 2f_{11}f_{31})}{2f_{11}f_{40}}; \quad x_{L_\ell} = 0.$$

We have that  $\rho(h) = (x_{F_\ell} - x_{F_r})/(x_{F_\ell} - x_{L_\ell})$ , because  $x_{L_r} = \infty$ . Therefore,

$$\rho(h) = 1 - \frac{(3f_{21}^2 - 2f_{11}f_{31})(3f_{12}^2 - 2f_{11}f_{13})}{4f_{11}^2f_{40}f_{04}}. \quad \square$$

Before to prove the Lemma, we very briefly explain the geometry that justify the needed calculations. For more a complete explanation see [15].

**6.1. Flecnodal Curve and Geometry in the Space of 1-Jets.** Assume our surface  $S$  is locally given in Monge form  $z = f(x, y)$ , where our hyperbonode  $h$  is the origin. At each point of  $S$  the pair of asymptotic lines is determined by the kernel of the second fundamental form of  $S$ . This means that each solution of the implicit differential equation (IDE)

$$(6.1) \quad f_{20}(x, y)dx^2 + 2f_{11}(x, y)dxdy + f_{02}(x, y)dy^2 = 0.$$

is the image of an asymptotic curve by the projection  $(x, y, z) \rightarrow (x, y)$ . Moreover, the image of the flecnodal curve  $F$  under the same projection  $(x, y, z) \rightarrow (x, y)$  is the curve  $\widehat{F}$  formed by the inflections of the solutions of (6.1) (cf. [14, 15]).

Since our hyperbonode  $h$  is the origin of the  $xy$ -plane, which is the tangent plane to  $S$  at  $h$ , the curves  $F$  and  $\widehat{F}$  have the same tangent lines. Therefore, we only need to find the tangent lines to the left and right branches of  $\widehat{F}$ .

Putting  $p = dy/dx$ , the IDE (6.1) is “materialised” by the surface  $\mathcal{A} \subset J^1(\mathbb{R}, \mathbb{R})$  of equation

$$a^f(x, y, p) := f_{20}(x, y) + 2f_{11}(x, y)p + f_{02}(x, y)p^2 = 0.$$

**REMARK.** The surface  $\mathcal{A}$  does not take into account the asymptotic lines parallel to the  $y$  direction because this direction corresponds to  $p = \infty$ .

The space of 1-jets  $J^1(\mathbb{R}, \mathbb{R})$  (with coordinates  $x, y, p$ ) has a natural *contact structure*: it is the field of *contact planes* on  $J^1(\mathbb{R}, \mathbb{R})$  which are the kernels of the 1-form  $\alpha = dy - pdx$ . At each generic point of  $\mathcal{A}$  the contact plane and the tangent pane to  $\mathcal{A}$  determine a *characteristic line* tangent to  $\mathcal{A}$ .



The surface  $\mathcal{A}$  is foliated by the integral curves of the characteristic lines of  $\mathcal{A}$ , whose projections to the  $xy$ -plane are the solutions of the IDE  $a^f(x, y, p) = 0$ .

In [14, 15], it is proved that the curve of inflections  $\widehat{F}$  is the image by the natural projection  $\pi : \mathcal{A} \subset J^1(\mathbb{R}, \mathbb{R}) \rightarrow \mathbb{R}^2$ ,  $(x, y, p) \mapsto (x, y)$ , of the curve  $\mathcal{F} \subset \mathcal{A}$  formed by the fold points of the Legendre dual projection  $\pi^\vee : \mathcal{A} \ni J^1(\mathbb{R}, \mathbb{R}) \mapsto (p, px - y)$ . That is,  $\mathcal{F} \subset \mathcal{A}$  is the apparent contour of  $\mathcal{A}$  by the projection  $\pi^\vee : \mathcal{A} \rightarrow (\mathbb{R}^2)^\vee$ .

According to [14, 15], the apparent contour  $\mathcal{F} \subset \mathcal{A}$  is the intersection of  $\mathcal{A}$  with the surface  $\mathcal{I} \subset J^1(\mathbb{R}, \mathbb{R})$  given locally by the equation

$$I(x, y, p) := a_x^f(x, y, p) + pa_y^f(x, y, p) = 0.$$

Therefore, the ‘‘curve of inflections’’  $\widehat{F}$  is (locally) the projection to the  $xy$ -plane, along the  $p$ -direction, of the intersection  $\mathcal{A} \cap \mathcal{I}$ . Now we can prove the Lemma.

**6.2. Proof of the Lemma.** The right flecnodal curve is locally obtained from the intersection  $\mathcal{A} \cap \mathcal{I}$  near the origin. Therefore, the tangent line to the right flecnodal curve at  $h$  is the projection of the intersection line of the tangent planes to the surfaces  $\mathcal{A}$  and  $\mathcal{I}$  at  $(0, 0, 0)$ . These planes are provided by the differentials of  $a^f$  and  $I$  at the origin:

$$da_{|_0}^f = f_{30}dx + f_{21}dy + 2f_{11}dp \quad \text{and} \quad dI_{|_0} = f_{40}dx + f_{31}dy + 2f_{21}dp.$$

Using the condition  $f_{30} = 0$ , we get the equations of the tangent planes:

$$f_{21}y + 2f_{11}p = 0 \quad \text{and} \quad f_{40}x + f_{31}y + 2f_{21}p = 0.$$

Then the tangent line  $L_{F_r}$  to the right flecnodal curve at the origin has equation

$$L_{F_r} : \quad 2f_{11}f_{40}x - (3f_{21}^2 - 2f_{11}f_{31})y = 0.$$

To get the tangent line to left flecnodal curve at the origin, in which the  $y$  axis is the left asymptotic line, we make similar computations with the equations

$$\hat{a}^f(x, y, q) := f_{20}q^2 + 2f_{11}q + f_{02} = 0 \quad \text{and} \quad \hat{a}_y^f(x, y, q) + q\hat{a}_x^f(x, y, q) = 0.$$

Then we get the equation of the tangent line  $L_{F_\ell}$  to the left flecnodal curve:

$$L_{F_\ell} : \quad 2f_{11}f_{04}y - (3f_{12}^2 - 2f_{11}f_{13})x = 0. \quad \square$$

On the Proof of Theorems 2' and 6. The proofs of the Theorems 2' and 6 follow exactly the same pattern of the proof of Theorem 2. Moreover, in Theorems 2' and 6, the Monge forms differ only in one sign of the quadratic term (being respectively  $x^2 - y^2$  and  $x^2 + y^2$ ), so that the calculations involved in their proofs are almost identical.

To compute the cross-ratio in the elliptic case (Theorem 6), we take the tangent plane to  $S$  at an ellipnode  $e$  where we find the points of intersection of the line of equation  $y = -ix + 2i$  with the (complex conjugate) asymptotic lines ( $L: ix - y = 0$ ;  $\bar{L}: ix + y = 0$ ;) and with the tangent lines to the (complex conjugate) flecnodal curves ( $L_F: ax + by = 0$ ;  $L_{\bar{F}}: \bar{a}x + \bar{b}y = 0$ ), where  $a = (f_{40} - 3f_{22}) + i(3f_{31} - f_{13})$  and  $b = (f_{31} - 3f_{13}) - i(f_{04} - 3f_{22})$ .

The  $x$ -coordinates of those four intersection points are

$$x_L = 1; \quad x_{\bar{L}} = \infty; \quad x_F = \frac{2ib}{bi - a}; \quad x_{\bar{F}} = \frac{2i\bar{b}}{\bar{b}i - \bar{a}}.$$

Hence  $\rho(e) = (x_F - x_{\bar{F}})/(x_F - x_L)$  because  $x_{\bar{L}} = \infty$ . Therefore,

$$\rho(e) = \frac{4[(f_{40} - 3f_{22})(f_{04} - 3f_{22}) - (f_{31} - 3f_{13})(f_{13} - 3f_{31})]}{(f_{40} - 6f_{22} + f_{04})^2 + 16(f_{31} - f_{13})^2}. \quad \square$$

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